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Plant factories with artificial lighting

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Outline of the presentation

- What are PFALs?
- Resource use efficiency in indoor farming systems;
- Water Use Efficiency;
- Land Surface Use Efficiency;
- Energy Use Efficiency;
- Light Use Efficiency;
- Environmental assessment;
- Conclusive remarks.



Plant Factories with Artificial Lighting (PFALs) LIGNUNG (PPA

LED and vertical farming

The development of high power Light Emitting Diodes allowed for the growth of plant factories, enclosed places where plants are grown under non-natural light and perfectly controlled climate and rootzone conditions.



Introduction

In greenhouse production, even if high technologies are used, seasonal differences are still found, and control of one environmental parameter will result in changes in another parameter (e.g. when greenhouse vents are open to reduce heating, variations in atmospheric CO₂ are also experienced).



Vertical Farms (or Plant Factories with Artificial Lighting, PFALs) allow for season independent, full control of environmental factors.



Introduction



Growing systems and environmental control

	Open fields	Low tech greenhouse	Hydroponic greenhouse	PFALs
Control of aerial zone	Very low	Medium	Medium	Very high
Stability of rootzone	High	High	Low	Low
Controllability of rootzone	Low	Low	High	High
Variations in yield and quality	High	Medium	Relatively low	Low
Initial investment per unit land area	Low	Medium	Relatively high	Extremely high
Yield	Low	Medium	Relatively high	Extremely high

Classification of four types of plant production systems by their environmental control features (readapted from Kozai, 2015. Plant Factory with Artificial Lighting, Springer).



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PFALs are plant production facilities with a thermally insulated and nearly airtight warehouse like structure, where multiple culture layers with lamps on each shelf are vertically staked.

Introduction





- Constant and high <u>yield</u> are possible throughout the year;
- <u>No pesticide</u> use, elevate land, water and nutrient <u>use efficiency</u>;
- Independent from solar radiation or soil fertility;
- Easier logistic chains;
- Wider choice of <u>varieties</u> and increased <u>freshness</u>;
- <u>Lower food waste</u>, more uniform quality, absence of dirt, high harvest index and reduced conservation.

Objectives of PFALs





When compared with field production, the list of advantages are even higher (e.g. resilience to adverse climate), but...

- ... comparison shall also consider that ...
- greenhouses are also adaptable to harsh climatic conditions;
- Investment costs for a plant factory are 4x to 10x higher than a high tech greenhouse;
- Artificial light requires electricity while a greenhouse benefit (at least partially) from solar radiation.

Objectives of PFALs



Unique selling points of PFALs

✓ Can be nearer to consumers ✓ Freshness ✓ Other (tastier) varieties ✓ Less need for crop protection ✓ Safer food ✓ Higher productivity ✓ On-demand delivery possible ✓ Less need for area ✓ Higher water use efficiency



Why only lettuce?

- Small volume, short in height (30 cm or less for easy vertical cultivation)
- Not requiring insect pollination
- High harvest index
- Growing well under relatively low light intensity and easy photoperiod management.



Which other crops could be grown?

- ✓ <u>Seedlings</u> of horticulture/floriculture crops;
- ✓ <u>Microgreens</u> and sprouts;
- Medicinal plants with improved metabolite content;
- ✓ <u>Cash crops</u> with high values (e.g. Cannabis) and legal requirements for cultivation indoor;
- ✓ <u>Tropical/exotic crops</u> (need for accurate climate control);



MedMen cannabis production in Los Angeles, USA

Worldwide PFALs distribution

The application of indoor farming technologies takes place in several regions of the world—mainly in Asian (42%), European (30%) and North American (21%) countries.



The market is expected to reach a global value of 5.80 billion USD by 2022 (MarketsAndMarkets, 2019).

Typologies of plant factories







Container type plant factory



Warehouse type plant factory



Resource use efficiency in indoor farming systems



BRIGHT FUTURE.



vertical farms. In Achieving sustainable greenhouse cultivation. Marcelis and Heuvelink.

Plant production systems typologies



Kozai et al. 2019. Towards sustainable plant factories with artificial lighting (PFALs): from greenhouses to vertical farms. In Achieving sustainable greenhouse cultivation. Marcelis and Heuvelink.

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INDOOR FARMS

Stable food production By controlling light, humidity and temperatures, plant growth is independent from external climate.

Accessible

Food is produced close to consumption centers, so transport and storage are reduced.

Products is fresher and taste is improved.

Space and resource saving By exploring the vertical dimension large quantities of food may be produced within small surfaces.

Thanks to hydroponics, water use is reduced up to -95%.

Healthy The confined environment removes pests and pests, so no pesticides are needed.

Accurate control of nutrients and light improves quality.

Re-elaborated from "Introducing Healthy Vertical Farming", https://news.Samsung.com/

PhytochemicalsMineralsLandStorageTransport

Environmental impact?

 H_2O

Energy?



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Water use efficiency



How much food per water used?

Water use efficiency (WUE) of selected food products in response to the cropping system (Source: Orsini et al., 2020, Sustainable use of resources in indoor farms with artificial lighting. European Journal of Horticultural Sciences, in press).

Mater Use Efficiency							
Field Greenhouse PFAL							
Lettuce	3-20	5-60	45-80				
Basil	2-11	20-22	33-44				
Rocket	5-8	5-15	18-26				
Chicory	2-22	24-26	20-26				
Milk		0.98-1.60					
Egg	0.31-0.50						
Chicken meat 🚵		0.23-0.27					
Beef		0.04-0.06					

Water use efficient LED spectrum



Pennisi, G., Blasioli, S., Cellini, A., Maia, L., Crepaldi, A., Braschi, I., Spinelli, F., Nicola, S., Fernández, J.A., Stanghellini, C., Marcelis, L.F., Orsini, F., Gianquinto, G. 2019. Unravelling the role of red:blue LED lights on resource use efficiency and nutritional properties of indoor grown sweet basil. Frontiers in Plant Science, doi: 10.3389/fpls.2019.00305



Water use efficient LED spectrum



Pennisi, G., Orsini, F., Blasioli, S., Cellini, A., Crepaldi, A., Braschi, I., Spinelli, F., Nicola, S., Fernández, J.A., Stanghellini, C., Gianquinto, G., Marcelis, L.F. 2019. Resource use efficiency of indoor lettuce (Lactuca sativa L.) cultivation as affected by red:blue ratio provided by LED lighting. NATURE Scientific Reports, 9, 14127



Water use efficient PPFD



Transpiration water recovery

Experimental data on water use for 14 days in a Plant Factory with Artificial Lighting (RH=80%, air temperature 30 °C).



Re-elaborated from Kozai, 2015, building on data from Ohyama et al. (2000).



Land surface use efficiency



New ways for plant growing in PFALs



Touliatos, Dodd and McAinsh, 2016. Vertical farming increases lettuce yield per unit area compared to conventional horizontal hydroponics. Food and Energy Security, 5: 184-191. Liu, Chen, and Liu, 2005. High efficiency column culture system in China. Acta Hortic. 691, 495-500



Surface needed to obtain 1 kg of fresh lettuce per day



Open field: 93 m²



Barbosa, G. et al. Comparison of land, water, and energy requirements of lettuce grown using hydroponic vs. conventional agricultural methods. Int. J. Environ. Res. Public Health 12, 6879–6891Pennisi et al., 2019. Pennisi, G., et al. 2019. Resource use efficiency of indoor lettuce (Lactuca sativa L.) cultivation as affected by red:blue ratio provided by LED lighting. NATURE Scientific Reports.



Adaptive plant spacing

Lettuce, optimal LAI=3 (Ohyama et al., 2000).



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Ioslovich & Gutman. 2000. Optimal control of crop spacing in a plant factory. Automatica, 36(11), 1665-1668. Ohyama *et al.* 2000. Energy and mass balance of a closed-type transplant production system. Water balance. J. SHITA 12(4), 217–224. Hang et al. 2019. Leaf area model based on thermal effectiveness and photosynthetically active radiation in lettuce grown in mini-plant factories under different light cycles. Scientia Horticulturae, 252, 113-120.

Movable LED lamps



By adopting movable LED lamps it was possible to halve the cost of lamps per unit of growing surface based.

Li, K., Yang, Q. C., Tong, Y. X., & Cheng, R. (2014). Using movable light-emitting diodes for electricity savings in a plant factory growing lettuce. Horttechnology, 24(5), 546-553.



How much food per land used?

Land Surface Use Efficiency (SUE) of selected food products in response to the cropping system (Source: Orsini et al., 2020, Sustainable use of resources in indoor farms with artificial lighting. European Journal of Horticultural Sciences, in press).



Energy use efficiency



Energetic costs in plant factories



Yokoyama, R. Energy Consumption and Heat Sources in Plant Factories. In Yokoyama, R. (2019). Energy Consumption and Heat Sources in Plant Factories. In Plant Factory Using Artificial Light (pp. 177-184). Elsevier.



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Electricity costs in plant factories



Electricity requirements for climate control are also dependent on the lamps efficiency (and their effect on the climate)

Yokoyama, R. Energy Consumption and Heat Sources in Plant Factories. In Yokoyama, R. (2019). Energy Consumption and Heat Sources in Plant Factories. In Plant Factory Using Artificial Light (pp. 177-184). Elsevier.



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Energy consumption in a PFAL

Data from Experimental Plant Factory at Osaka Prefecture University. Lettuce production of 5'000 plants per day.

	Annual energy consumption (MWh year-1)	Relative consumption (%)	Energ per l	gy consumption nead of lettuce (kWh)
LED light	1'906	52.7%		1.044
Air Conditioning by heat pumps	1'232	34.1%		0.675
Production	478	13.2%		0.262
Tacilities		~ 0.20 Euro kWh ⁻¹ (in Italy)		
Total	3'616	~ 0.40 Euro hea	d ⁻¹	1.981

T. Ogura, T. Wada, Elemental systems and consideration points for the design of a plant factory, Air-Cond. Sanit. Eng. 89 (5) (2015) 35–41 (in Japanese).
Improving energy use efficiency by means of climate control systems



Climate control systems



Yokoyama, R. Energy Consumption and Heat Sources in Plant Factories. In Yokoyama, R. (2019). Energy Consumption and Heat Sources in Plant Factories. In Plant Factory Using Artificial Light (pp. 177-184). Elsevier.



Climate control systems



Yokoyama, R. Energy Consumption and Heat Sources in Plant Factories. In Yokoyama, R. (2019). Energy Consumption and Heat Sources in Plant Factories. In Plant Factory Using Artificial Light (pp. 177-184). Elsevier.



Climate control systems



Yokoyama, R. Energy Consumption and Heat Sources in Plant Factories. In Yokoyama, R. (2019). Energy Consumption and Heat Sources in Plant Factories. In Plant Factory Using Artificial Light (pp. 177-184). Elsevier.



Heat pump or Co-generation?

Model data from Experimental Plant Factory at Osaka Prefecture University. Lettuce production of 5'000 plants per day.



Yokoyama, R. Energy Consumption and Heat Sources in Plant Factories. In Yokoyama, R. (2019). Energy Consumption and Heat Sources in Plant Factories. In Plant Factory Using Artificial Light (pp. 177-184). Elsevier.



Improving energy use efficiency by means of light management



Efficacy of the diodes to convert electricity into photons

	PPE (µmol J⁻¹)		More
RED diode	2.3	2.6	photons are
BLUE diode	1.8	2.0	released by
	Park and Runkle, 2018	Blanken et al., 2013	at longer

g wavelengths

BUT

ight Output Characteristics



In response to increasing junction temperature, **BLUE diodes may increase** their efficacy while RED diodes decrease it (Wang et al., 2007; Pennisi et al., 2019)

Figure 2. Typical normalized light output vs. junction temperature for LUXEON 3535L Color Line at 100mA

Pennisi et al., 2019 Unravelling the role of red:blue LED lights on resource use efficiency and nutritional properties of indoor grown sweet basil. Frontiers in Plant Science. Wang et al., 2007. Effects of using light-emitting diodes on the cultivation of Spirulina platensis. Biochem. Eng. J. 37, 21–25. Park and Runkle 2018. Spectral effects of light-emitting diodes on plant growth, visual color quality, and photosynthetic photon efficacy: white versus blue plus red radiation. PLoS One 13:e0202386. Blanken et al., 2013. Cultivation of microalgae on artificial light comes at a cost. Algal Res. 2, 333–340.



Efficacy of lamps to convert electricity into light photons



Photosynthetic Photon Efficacy depends on lamp features rather than RB ratio.

Pennisi et al., 2019a. Unravelling the role of red:blue LED lights on resource use efficiency and nutritional properties of indoor grown sweet basil. Frontiers in Plant Science, in press. doi: 10.3389/fpls.2019.00305 Pennisi et al., 2019b. Modelling environmental burdens of indoor-grown vegetables and herbs as affected by red and blue LED lighting. Sustainability, 11(15), 4063. Nájera et al., 2018. LED-enhanced dietary and organoleptic qualities in postharvest tomato fruit. Postharv. Biol. Technol. 145, 151–156. Särkkä et al., 2017. Effects of HPS and LED lighting on cucumber leaf photosynthesis, light quality penetration and temperature in the canopy, plant morphology and yield. Agric. Food Sci. 26, 102–110. Wallace and Both 2016. Evaluating operating characteristics of light sources for horticultural applications. Acta Hortic. 1134, 435–444.



Energy use efficient LED spectrum



Pennisi, G., Blasioli, S., Cellini, A., Maia, L., Crepaldi, A., Braschi, I., Spinelli, F., Nicola, S., Fernández, J.A., Stanghellini, C., Marcelis, L.F., Orsini, F., Gianquinto, G. 2019. Unravelling the role of red:blue LED lights on resource use efficiency and nutritional properties of indoor grown sweet basil. Frontiers in Plant Science, doi: 10.3389/fpls.2019.00305



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Energy use efficient PPFD





In lettuce maximum EUE at 200 and 250 μ mol m⁻² s⁻¹, in basil at 250 μ mol m⁻² s⁻¹.

Pennisi et al., 2020. Optimal light intensity for sustainable water and energy use in indoor cultivation of lettuce and basil under red and blue LEDs. Scientia Horticulturae, 272, 109508.



vegetables and herbs. European Journal of Horticultural Sciences, in press.

360 460 560 660

760

How much food per energy used?



Energy Use Efficiency (EUE) of selected food products in response to the cropping system (*Source: Orsini et al., 2020, Sustainable use of resources in indoor farms with artificial lighting. European Journal of Horticultural Sciences, in press*).



Light use efficiency



Light use efficient PPFD





In lettuce maximum LUE at 200 and 250 μ mol m⁻² s⁻¹, in basil at 250 μ mol m⁻² s⁻¹.

Pennisi et al., 2020. Optimal light intensity for sustainable water and energy use in indoor cultivation of lettuce and basil under red and blue LEDs. Scientia Horticulturae, 272, 109508.



360 460 560 660 760

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Environmental assessment of indoor farms



Plant factory typologies



SunLight Based (PFSLs)

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Kikuchi et al., 2018. Environmental and resource use analysis of plant factories with energy technology options: A case study in Japan. Journal of Cleaner Production, 186: 703-717



LCA studies based on plant factory typology (from SCOPUS database)

A + + + +



SunLight Based (PFSLs)

10 entries (keywords: LCA; greenhouse cultivation)

Scopu

And the second sec

Artificial Light Based (PFALs)

4 entries (keywords: LCA; indoor farming; vertical farming; plant factories)

Sunlight based plant factories



Environmental assessment studies of greenhouse crop production



nhouse crop production A LCA-study on greenhouse technologies:

Greenhouse with zinc-coated steel structure and glass covering (Greenhouse "A").

Tunnel shaped zinc-coated steel structure covered with an LDPEbased plastic film (Greenhouse "B").



Greenhouse with Oakwood structure covered with an LDPE-based plastic film (Greenhouse "C").



Russo, G. and Scarascia Mugnozza, G. (2005). LCA METHODOLOGY APPLIED TO VARIOUS TYPOLOGY OF GREENHOUSES. Acta Hortic. 691, 837-844 DOI: 10.17660/ActaHortic.2005.691.103

Environmental assessment studies of greenhouse crop production

B

Environmental impact is reduced using low tech systems (e.g. wood, plastic film), instead of steel and glass



Russo, G. and Scarascia Mugnozza, G. (2005). LCA METHODOLOGY APPLIED TO VARIOUS TYPOLOGY OF GREENHOUSES. Acta Hortic. 691, 837-844 DOI: 10.17660/ActaHortic.2005.691.103

Environmental assessment studies of greenhouse crop production

- Environmental impact is reduced using hydroponics instead of on-soil cultivation.
- Reduced
- environmental contamination and improved resource use efficiency





Russo, G. and Scarascia Mugnozza, G. (2005). LCA METHODOLOGY APPLIED TO VARIOUS TYPOLOGY OF GREENHOUSES. Acta Hortic. 691, 837-844 DOI: 10.17660/ActaHortic.2005.691.103

Environmental impact of greenhouse crops in Italy



Cellura, M., Longo, S., & Mistretta, M. (2012). Life Cycle Assessment (LCA) of protected crops: an Italian case study. Journal of cleaner production, 28, 56-62.

Plant Factories with artificial lighting



Environmental assessment of plant factories?

Environmental and resource use analysis of plant factories with energy technology options: A case

study in Japan



Kikuchi et al., 2018. Environmental and resource use analysis of plant factories with energy technology options: A case study in Japan. Journal of Cleaner Production, 186: 703-717



Boundaries of the LCA analysis



Comparison of scenarios at different technologica I level.

Kikuchi et al., 2018. Environmental and resource use analysis of plant factories with energy technology options: A case study in Japan. Journal of Cleaner Production, 186: 703-717



Results of the LCA analysis



Kikuchi et al., 2018. Environmental and resource use analysis of plant factories with energy technology options: A case study in Japan. Journal of Cleaner Production, 186: 703-717



Are Plant Factories sustainable?

- Current plant factories <u>reduce phosphorus</u>, <u>water</u>, and land requirements for food production.
- Current plant factories cause <u>higher greenhouse</u> <u>gas emissions</u> than conventional systems.
- Energy for plant factories can be saved by emerging energy technology options.
- <u>Solar-light plant factories are more efficient</u>, but less widely applicable.
- <u>Artificial-light plant factories</u> are comparatively less efficient, but <u>widely applicable</u>.

Kikuchi et al., 2018. Environmental and resource use analysis of plant factories with energy technology options: A case study in Japan. Journal of Cleaner Production, 186: 703-717



Plant factories vs Greenhouses



Graamans, L., Baeza, E., Van Den Dobbelsteen, A., Tsafaras, I., & Stanghellini, C. (2018). Plant factories versus greenhouses: Comparison of resource use efficiency. *Agricultural Systems*, *160*, 31-43.



Agricultural Systems 160 (2018) 31-43

Contents lists available at ScienceDirect

Agricultural Systems

journal homepage: www.elsevier.com/locate/agsy

Plant factories versus greenhouses: Comparison of resource use efficiency

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Resource requirement in plant factories

- To quantify resource requirement for lettuce production in greenhouses and plant factories
- To analyse how this is affected by external climate.



The models

- Greenhouses: dynamic model KASPRO (De Zwart, 1996 and several additions)
- Plant Factory: EnergyPlus + DesignBuilder
- Lettuce growth model (van Henten, 1994)



Agricultural Systems Volume 45, Issue 1, 1994, Pages 55-72 ASPOLITIPA SISTEAS

Validation of a dynamic lettuce growth model for greenhouse climate control

E.J. Van Henten

Show more

https://doi.org/10.1016/S0308-521X(94)90280-1

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Locations

Kiruna, Sweden, 68°N

Amsterdam, the Netherlands, 52°N

Abu Dhabi,United Arab Emirates, 24°N

e Earth

Copernicus , U.S. Navy, NGA, GEBCO Igical Survey

View from Space (Altitude, 4927 km)

The greenhouses: Netherlands

Heating, energy screen and fogging [300 g m⁻² h⁻¹]

CO₂ setpoint 800 vpm, capacity [180 kg Ha⁻¹ h⁻¹]

Sweden

To match light available in NLD: lamps 100 μ mol m⁻² s⁻¹ PAR In total 300 MJ m⁻² year⁻¹

Abu Dhabi

700 W m⁻² cooling capacity
Plant factory

5 layers: 500 μ mol m⁻² s⁻¹, 16 h/24 LED efficiency 2.3 μ mol/J = 8.3mol/kWh Sufficient cooling capacity CO₂ 1200 vpm, sufficient dosing capacity Well insulated outer shell, 0.05 W m⁻² K⁻¹



Production per unit cultivation area



Water use per kg fresh weight



Energy use per kg dry weight



Energy use per kg dry weight





Final remarks on plant factories

- Plant factories are more efficient than greenhouses with respect to all resources (land, water, energy)
 - However, in all cases the need for purchased energy is higher in plant factories than in greenhouses
- The viability of plant factories depends on the value of product and of other resources (such as land) relative to purchased energy



Scenarios for improving environmental performances of PFALs

THE CONTRACT AND A STATE

Environmental Assessment of an Urban Vertical Hydroponic Farming System in Sweden.

Martin, M., & Molin, E. (2019). Environmental Assessment of an Urban Vertical Hydroponic Farming System in Sweden. Sustainability, 11(15), 4124.



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Dashed line represents the system boundaries.



Martin, M., & Molin, E. (2019). Environmental Assessment of an Urban Vertical Hydroponic Farming System in Sweden. Sustainability, 11(15), 4124.



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Plastic pot Garden soil



Martin, M., & Molin, E. (2019). Environmental Assessment of an Urban Vertical Hydroponic Farming System in Sweden. Sustainability, 11(15), 4124.



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Plastic or paper pot?





Garden soil or coir?















Swedish mix



Nuclear



Electricity by Swedish mix or Nordic mix?

Nordic mix



Larger fossil share







How can light management improve sustainability of indoor farming systems?

Growing systems used for the experimentation



Plants (a) grown on individual deep water culture hydroponic systems where the root system (**b**) floats into the nutrient solution (c), contained in a plastic jar and screened from light by a black cloth (d). Constant aeration of the nutrient solution is provided by air pumps and distributed to individual growing systems through pipes (e). Each light treatment is allocated to a light insulated compartment (f) of a climate controlled chamber, with white-painted walls and fans allowing for air recirculation.

Lettuce environmental assessment



Electricity accounts for 77-93% of environmental impact and 64% of economic costs of production



Contribution of the different elements of the life cycle inventory to the impact for lettuce production in RB0.5 treatment. Midpoint categories are climate change (CC), ozone depletion (OD), human toxicity, cancer effects (HTc), human toxicity, non-cancer effects (HTnc), particulate matter (PM), ionising radiation (IR), photochemical ozone formation (POF), acidification (AC), terrestrial eutrophication (TEU), freshwater eutrophication (FEU), marine eutrophication (MEU), ecotoxicity (ET), land use (LU), water use (WU), resources use (RU).

Crop environmental assessment



Normalized and weighted environmental impacts of the different crops and LED treatments. For each crop, least environmental impacting (green) and most environmental impacting (red) LED treatments are indicated.

Eco-efficiency assessment of crops and LED treatments in indoor farming with reference to the production of 1 kg of fresh product.

Lettuce = least impacting crop.

Best environmental performences at RB2 (basil), RB3 (lettuce) and RB4 (rocket and chicory)

How much CO_2 is released per kg of food produced?



Environmental assessment of selected food products in response to the cropping system (*Source: Orsini et al., 2020, Sustainable use of resources in indoor farms with artificial lighting. European Journal of Horticultural Sciences, in press*).



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Conclusive remarks



Where to go for sustainable indoor farming?

- Improving <u>water use efficiency</u> through appropriate light management and condensation of air humidity;
- <u>Reducing land use</u>, through adequate growing systems, dynamic plant spacing and crop layering;
- <u>Reducing energy needs</u>, by adoption of tri-generation systems and <u>improved light use efficiency</u> by appropriate light management (spectrum, photoperiod and intensity);



- Improving PFAL <u>environmental</u> <u>performances</u> by coupling co-generation, absorption chiller and PV electricity.
- Adequately choose <u>energy sources</u>, <u>least</u> <u>impacting crops</u>, improved <u>spectral</u> <u>composition</u> and adequate <u>crop input</u>.





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